Wealth Enhancement®

Custodial Referral Programs

Partnership designed to enhance client service

Custodial Referral Program

Custodial Referral Programs can provide resources to Schwab Financial Consultants (FCs) who have clients with complex planning needs. The Schwab Advisor Network (SAN) gives FCs access to a variety of partner firms – including Wealth Enhancement.

Meet Wealth Enhancement

Clients of Wealth Enhancement are supported by a team of dedicated specialists who work with each advisor to craft personalized financial plans. We offer integrated tax, estate, and investment planning designed to achieve the best outcomes for our clients.

Financial planning red flags

As the old saying goes, if you fail to plan, you plan to fail. The lack of a financial plan can lead to costly consequences, which might include paying too much in taxes, making avoidable investment mistakes, or leaving behind a disorganized estate plan.

Do any of the following red flags apply to your clients? If so, the specialists at Wealth Enhancement can help.

- Significant holdings in one stock
- No tax strategy
- Retirement savings held mainly in tax-deferred accounts
- No Roth IRA account
- No retirement income plan
- Outdated or no estate plan
- Real estate holdings outside of residency state and/or with low cost basis
- Business ownership with no succession plan
- Large cash holdings
- No plan to provide for charity or family in a tax-efficient manner
- A major life change (divorce, loss of a spouse/parent, move to a new state, etc.)
- Family member/dependent with special needs

Next steps

For more information, please contact your Regional Vice President.

Advisory services offered through Wealth Enhancement Advisory Services, LLC, a registered investment advisor and affiliate of Wealth Enhancement Group®.