

FEE SCHEDULE

We are committed to delivering holistic investment solutions and services through Wealth Enhancement Brokerage Services (WEBS), with pricing that is transparent, competitive and value-based. The below fee schedule outlines fees that may apply to your accounts with WEBS depending on your personal investing choices. A current fee schedule can be found at www.wealthenhancement.com/WEBSpricing/ or you can ask your financial advisor for more information.

Account Fees (Brokerage and Advisory Accounts)		
Retirement Account Fees	Fee Amount	Frequency
Retirement Account Maintenance Fee	\$35	Annual
IRA Termination Fee	\$125	Per account
Wire Out – Retirement Account	\$15	Per Transaction
Non-Retirement Account Fees	Fee Amount	Frequency
Full Transfer of Account Delivery	\$150	Per account
Wire Out – Non-Retirement Account	\$25	Per Transaction
Custody and Inactivity Fee	\$30	Per Account, Annual
General Fees (All account types)	Fee Amount	Frequency
Quarterly Paper Statement Fee	\$8	Per Account, Quarterly
Ancillary Activity Fees		
Mutual fund share class conversion	\$4	Per transaction
Legal Transfer	\$175	Per Transaction
Transfer & Ship (DRS Eligible)	\$20	Per Transaction
Transfer & Ship (Non-DRS Eligible)	\$575	Per Transaction
Trade & Margin Extension	\$15	Per Transaction
Mailgrams	\$10	Per Transaction
Physical Reorganization	\$175	Per Transaction
Legal Return	\$95	Per Transaction
Bounced Checks	\$20	Per Transaction
Stop Payments	\$20	Per Transaction
Mutual Fund Transaction Surcharge Fee	\$50	Per transaction
Safekeeping (per certificate)	\$20	Monthly
Margin		
Margin Debit Interest ¹	Varies	Monthly
Cash Debit Interest ¹	Varies	Monthly

¹ Margin and cash rates vary based on interest rate environment and balance, please contact your representative for current rates.

General Fees (All account types) Cont.	Fee Amount	Frequency
Alternative Investment Fees		
Transfer / Re-registration	\$50	Per transaction
Annual custody & Valuation (registered products)	\$35	Per transaction
Annual custody & Valuation (Non-registered products)	\$125	Per transaction
Cash Management Features		
Cash Management NFS Select Access (ACH direct deposit, Bill Pay)	\$15	Annual
Cash Management NFS Select Access: Add a checkbook	\$10	Annual
Cash Management NFS Select Access: Add debit card	\$10	Annual
Cash Management NFS Premier Access with Visa Premier Rewards Debit Card	\$125	Annual
Cash Management NFS Premier Access Metal Card upgrade	\$15	Annual

Brokerage Commissions		
Security Type	Fee Amount	Frequency
Equity/Exchange Traded Fund	Up to 5% of principal	Per transaction
Options	Up to 5% of principal	Per transaction
Fixed Income	Up to 5% of principal	Per transaction
No Load Mutual Funds	\$20	Per transaction

Advisory Account Transaction Fees		
Security Type	Fee Amount	Frequency
Equity/Exchange Traded Fund	\$8	Per transaction
Options	\$8 + \$1.50 per contract	Per transaction
Fixed Income	\$15	Per transaction
Mutual Funds	\$15	Per transaction
No Transaction Fee (NTF) mutual fund	\$0	Per transaction
Mutual Fund Periodic Investment / Systematic Withdrawal	\$0	Per transaction

All fees are subject to change at our discretion, and other fees may apply. We will provide at least 30 days written notice before we increase these fees, unless required or otherwise permitted by law or regulation. We reserve the right to reduce or waive these fees, at our discretion.

Wealth Enhancement Brokerage Services and its financial professionals may, at their discretion, apply commission discounts on brokerage transactions, subject to applicable regulatory guidelines and firm policies. Any discount provided will be determined based on factors such as transaction size, account type, and client relationship. Clients should be aware that commission discounts may not be available for all securities or account types. Additionally, certain restrictions may apply to discounted commissions, particularly for transactions involving mutual funds, fixed-price offerings, or other investment products.